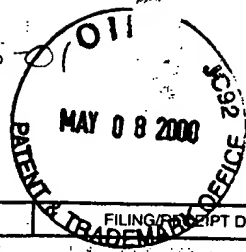


30145 344-65-01011


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/449, 085

11/24/99

NICKAPOLY

1000

0212/0104

 JAMES M. STOVER  
NCR CORPORATION  
101 W SCHANTZ AVENUE  
ECB-2  
DAYTON OH 45479

DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
 Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:  
 \$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
 \$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
 \$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.  
 An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.  
 Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☒ 9. OTHER: JUN LI Residence is missing p/so

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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05/12/2000 TLWJ1

01 FC:105



Due Date: May 4, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Karthikeyan Ramasamy et al.	Examiner:	Not yet assigned
Serial No.:	09/449,085	Group Art Unit:	2771
Filed:	November 24, 1999	Docket:	8343
Title:	QUERY MONITOR PLAYBACK MECHANISM FOR POST-MORTEM PERFORMANCE ANALYSIS		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 4, 2000.

By: Victor G. Cooper  
Name: Victor G. Cooper

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR §1.8.
- ☒ Petition for Extension of Time under 37 C.F.R. §1.136 for 2 months.
- ☒ Charge the Extension Fee in the amount of \$380 to Deposit Account No. 14-0225 of NCR.
- ☒ Signed Combined Declaration and Power of Attorney.
- ☒ Form PTO-1533 – Part 2 – Notice to File Missing Parts of Application.
- ☒ Charge the Surcharge Fee in the amount of \$130 to Deposit Account No. 14-0225 of NCR.
- ☒ Petition Under 37 C.F.R. §1.47(a) and Declaration of Victor G. Cooper with Exhibit 1.
- ☒ Charge the Petition Fee in the amount of \$130 to Deposit Account No. 14-0225 of NCR.
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

**GATES & COOPER**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: Victor G. Cooper  
Name: Victor G. Cooper  
Reg. No.: 39,641  
VGC/sjm

(PTO TRANSMITTAL - GENERAL)